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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/941,655 Confirmation No. : 7423  
First Named Inventor : Hiroshi KANAZAWA  
Filed : August 30, 2001  
TC/A.U. : 2834  
Examiner : H. Elkassabgi  
Docket No. : 381KA/50358  
Customer No. : 23911  
Title : Vehicular Alternator

### **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R §§ 1.97 AND 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This supplements the Information Disclosure Statements submitted in the above-identified application on June 7, 2004 and August 30, 2001.

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

#### I. Time Period of Submission

This Information Disclosure Statement is submitted:

1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (*Ex parte Quayle*), (whichever is earlier), and therefore Applicant is filing concurrently herewith:

- a Statement under 37 C.F.R. § 1.97(e); or
- a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.97(e); and  
a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)

I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

III. Submission of Non-English Language Documents

The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:

The relevance of document(s) \_\_\_\_\_ to the subject matter of the present invention is/are provided in the specification of the above-identified application.

Copy of a corresponding Japanese Office Action citing the documents listed on the PTO 1449 as AA-AD, together with an English-language translation.

The Japanese Office Action also cites Japanese publication No. 11-318064, which was previously cited in the Information Disclosure Statement filed on August 30, 2001.

English language equivalent publication(s) of document(s) \_\_\_\_\_ is/are submitted herewith.

English language abstracts are submitted for documents listed on the PTO 1449 as AA-AB.

English translation(s) of the foreign language document(s), is/are submitted herewith.

Applicant submits the following explanations:

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 056205.50358, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

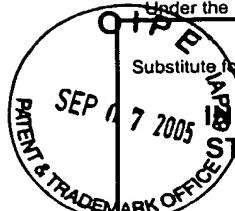
September 7, 2005



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Substitute for form 1449A/PTO

7 2005 INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Use as many sheets as needed.

**Complete if Known**

Application Number	09/941,655
Filing Date	August 30, 2001
First Named Inventor	Hiroshi KANAZAWA
Art Unit	2834
Examiner Name	H. Elkassabgi
Attorney Docket Number	381KA/50358

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	AA	JP 2000-134888	05-12-2000	Valeo Equip Electric Moteur		AB
	AB	JP 08-098481	04-12-1996	Nippondenso Co., Ltd.		AB
	AC	JP 08-124740	05-17-1996	Shimonishi Giken Kogyo KK		AB
	AD	JP 09-322451	12-12-1997	Toshiba Corp.		AB

**Examiner  
Signature** \_\_\_\_\_ **Date  
Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*